For Division DHS Through Periods 1/1/2020 -- 6/30/2020

Iowa Department of Human Services

Report Series D-3 Administrative Use Only

Dependent Adult Abuse Program

The numbers reported in this report represent:

- · Number of reports of suspected abuse the Department receives according to type of abuse defined in Iowa Code 235B.
- Number of reports of abuse accepted or rejected for evaluation or assessment.
- Whether the person who reported the abuse was required to report.
- Of those who were required to report the abuse, how many of each profession made reports.
- How many reports found the abuse occurred, did not occur or it occurred, but because the abuse was minor, isolated and not likely to reoccur was not placed on the central abuse registry.
- The number of reports founded, unfounded or confirmed, not registered of each type of abuse.
- How many dependent adults received which type of service after the report was completed.
- The number of persons responsible for the abuse held responsible legally or received services after the report was completed.

Intake			
Accepted	Rejected	In Progress	Total
1863	2348	12	4223

Column Name Definition

Accepted Total number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.

Rejected Total number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

<u>In Progress</u> Open cases where the intake has not been accepted or rejected.

For Division DHS Through Periods 1/1/2020 -- 6/30/2020

Evaluation or Assessment						
Founded	Unfounded	Confirmed, Not Registered	In Progress	Total		
176	1295	75	316	1862		

Column Name Definition

Founded Total number of reports evaluated or assessed that were found to have occurred.

Unfounded Total number of reports evaluated or assessed that were determined to have not occurred.

Confirmed, Not Registered Total number of reports evaluated or assessed that were determined to have occurred, but were not placed on the Registry.

In Progress Open cases where the evaluation has not been accepted.

For Division DHS Through Periods 1/1/2020 -- 6/30/2020

Summary of Intake Allegations					
Type of Abuse	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
Denial of Critical Care	1652	808	844	48.91 %	51.09 %
Denial of Critical Care Due to Adult's Actions	1159	565	594	48.75 %	51.25 %
Exploitation	769	304	465	39.53 %	60.47 %
Personal Degradation	126	45	81	35.71 %	64.29 %
Physical Abuse	494	260	234	52.63 %	47.37 %
Sexual Abuse	117	28	89	23.93 %	76.07 %
Total Allegations	4317	2010	2307	46.56 %	53.44 %

Column Name Definition

Type of Abuse Iowa Code 235B defines what abuse is. The types of abuse recognized in the Code are: Physical, sexual, exploitation, denial of critical care when there is a caretaker and denial of critical of care when the dependent adult is responsible.

<u>Total</u> Total number of accepted/rejected allegations made to the Department.

Total Accepted

The number of reports of alleged abuse or neglect accepted for a social worker to evaluate or assess circumstances surrounding the allegation of abuse to determine if the dependent adult was in fact abused or neglected.

<u>Total Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

<u>% Accepted</u> The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.

<u>% Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not meeting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

^{*} This report is a Summary of Allegations and not a summary of reports. If a reported incident has more than one allegation, that report will be counted multiple times in the totals above.

For Division DHS Through Periods 1/1/2020 -- 6/30/2020

Types of Reporters					
Type of Reporter	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
AAA/ADRC	1	1	0	100.00 %	0.00 %
Anonymous	0	0	0	0.00 %	0.00 %
Financial Institution - Permissive	138	18	120	13.04 %	86.96 %
Hospital Social Worker	140	87	53	62.14 %	37.86 %
Known Permissive	1694	579	1115	34.18 %	65.82 %
Licensed Psychologist	5	2	3	40.00 %	60.00 %
Nurse	548	305	243	55.66 %	44.34 %
Other Medical Personnel	370	204	166	55.14 %	44.86 %
Other Mental Health Professional	67	32	35	47.76 %	52.24 %
Other Social Service Professional	718	382	336	53.20 %	46.80 %
Parole/Probation Officer	6	2	4	33.33 %	66.67 %
Physician	102	61	41	59.80 %	40.20 %
Residential Staff	48	29	19	60.42 %	39.58 %
School Personnel	17	9	8	52.94 %	47.06 %
Sheriff/Deputy/Police Officer	229	114	115	49.78 %	50.22 %
Social Worker – non DHS	255	115	140	45.10 %	54.90 %
Social Worker –DHS	63	46	17	73.02 %	26.98 %
Total Reporters	4401	1986	2415	45.13 %	54.87 %

Column Name Definition

Type of Reporter

Anyone may report suspected abuse or neglect; however, IA Code 235B mandates professionals who work with dependent adults to report suspected abuse. These reporters are called mandatory reporters. All other reporters are called permissive reporters. A Mandatory Reporter Reporting Permissively is a person who is mandatory reporter due to their employment, but they are reporting suspected abuse that occurred outside of their employment.

For Division DHS Through Periods 1/1/2020 -- 6/30/2020

<u>Total</u>	Total number of accepted/rejected reports of suspected abuse made to the Department.
Total Accepted	The number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.
Total Rejected	The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in lowa Code 235B, or there was not a caretaker or the adult was not dependent.
% Accepted	The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.
% Rejected	The percentage of the total reports rejected due to there not being an allegation of abuse, the person responsible for abuse is not a caretaker, or the adult was not dependent.

For Division DHS Through Periods 1/1/2020 -- 6/30/2020

Types of Mandatory Reporters					
Туре	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
AAA/ADRC	1	1	0	100.00 %	0.00 %
Hospital Social Worker	140	87	53	62.14 %	37.86 %
icensed Psychologist	5	2	3	40.00 %	60.00 %
Nurse	548	305	243	55.66 %	44.34 %
Other Medical Personnel	370	204	166	55.14 %	44.86 %
Other Mental Health Professional	67	32	35	47.76 %	52.24 %
Other Social Service Professional	718	382	336	53.20 %	46.80 %
Parole/Probation Officer	6	2	4	33.33 %	66.67 %
Physician	102	61	41	59.80 %	40.20 %
Residential Staff	48	29	19	60.42 %	39.58 %
School Personnel	17	9	8	52.94 %	47.06 %
Sheriff/Deputy/Police Officer	229	114	115	49.78 %	50.22 %
Social Worker – non DHS	255	115	140	45.10 %	54.90 %
Social Worker –DHS	63	46	17	73.02 %	26.98 %
Totals Mandatory Reporters	2569	1389	1180	54.07 %	45.93 %

Column Name Definition

Type of Mandatory The types of professionals who are required by Code to report suspected abuse fall into the following general categories: social work, health care, law enforcement, mental health and other.

<u>Total</u> Total number of accepted/rejected reports of suspected abuse received from each identified professionals.

<u>Total Accepted</u> Total number of reports of suspected abuse made by identified professionals that were accepted for evaluation or assessment.

<u>Total Rejected</u> Total number of reports of suspected abuse made by identified professionals that were rejected for evaluation or assessment due to not being a Code defined allegation of abuse, of the persons responsible for the abuse was not a caretaker, or the adult was not dependent.

<u>% Accepted</u> Percentage of number of reports of suspected abuse made by identified professionals accepted for evaluation or assessment.

For Division DHS Through Periods 1/1/2020 -- 6/30/2020

% Rejected Percentage of number of reports of abuse made by identified professionals rejected for evaluation or assessment.

For Division DHS Through Periods 1/1/2020 -- 6/30/2020

Outcomes of Accepted Allegations for Evaluation or Assessment

Type of Abuse	Founded		Unfou	Unfounded		Confirmed, Not Registered	
	Total	Percentage	Total	Percentage	Total	Percentage	
Physical Abuse	11	4.9%	195	87.4%	17	7.6%	
Denial of Critical Care	42	6.0%	605	85.9%	57	8.1%	
Sexual Abuse	2	7.7%	24	92.3%	0	0.0%	
Exploitation	51	20.3%	200	79.7%	0	0.0%	
Denial of Critical Care Due to Adult's Actions	73	16.3%	376	83.7%	0	0.0%	
Personal Degradation	1	2.3%	40	93.0%	2	4.7%	
Total	180		1440		76		

Column	Mama	Definition

Founded Total The total number of reports of abuse evaluated or assessed that were found to have occurred in each category

Founded Percentage Percentage of the total number of reports evaluated or assessed for this category of abuse that were determined to have occurred.

<u>Unfounded Total</u> Total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

<u>Unfounded Percentage</u> Percentage of total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

Confirmed, Not Registered Total number of reports of abuse evaluated or assessed in this category of abuse that were determined to have occurred, but were not placed on

the Registry.

<u>Confirmed, Not Registered Percentage</u> Percentage of total number of reports of abuse evaluated or assessed in this category of abuse founded, but were not registered in this category.

^{*} This report is a Summary of Allegations and not a summary of reports. If a reported incident has more than one allegation, that report will be counted multiple times in the totals above.

For Division DHS Through Periods 1/1/2020 -- 6/30/2020

Recommendations For Services

Service	Total
Care/case management services (includes AAA/ADRC)	102
Caregiver support services	36
Education, employment and training services	6
Emergency assistance and material aid services	5
Financial planning services	9
In-home assistance services	109
Legal services referral	25
Medical and dental services	76
Mental health services	39
Public assistance benefits	18
Substance use services	17
Transportation	11
Victim services	5
Recommended service is unavailable in the subject's area	1
Periodic Visits	44
Other Services	141
None	1099

For Division DHS Through Periods 1/1/2020 -- 6/30/2020

Perpetrator Legal Remedy

Remedy	Total
Recommendation of removal of guardianship rights	7
Recommendation of restraining order on perpetrator regarding the client	16
Recommendation of eviction of perpetrator	19
Recommendation of restitution by perpetrator	8
Recommendation of other legal remedy	24
No crime committed	564
None	965

For Division DHS Through Periods 1/1/2020 -- 6/30/2020

Interagency Coordination

Agency	Total
Law enforcement or prosecutorial offices (includes DCI, County Attorney, Local Law Enforcement)	182
Protection and Advocacy or Client Advocacy Program (CAP) such as Disability Rights Iowa, Iowa Civil Rights Commission, and the Equal Opportunity Commission, Federal Department of Labor	5
State licensing agency (Department of Inspections & Appeals, Department of Public Health, Iowa Medicaid Enterprise)	13
State Medicaid Fraud Control (MFCU)	3
Long Term Care Ombudsman Program	4
Office of the Substitute Decision Maker	23
AAA/ADRC	13
Crime Victim Assistance Program	1
Child Action Center	0
Iowa Workforce Development, Division of Labor Services	0
State Fire Marshall's Office	0
None	1332
Other	134